

PTO/SB/08a (05-03)

Approved for use through 05/31/2003. OMB 0651-0031

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Substitute for form 1449B/PTO				<div>Complete if Known</div> <table border="1"> <tr> <td>Application Number</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Filing Date</td> <td>Simultaneously Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Michael de Rooij</td> </tr> <tr> <td>Group Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Michael de Rooij</td> </tr> </table>		Application Number	Not Yet Assigned	Filing Date	Simultaneously Herewith	First Named Inventor	Michael de Rooij	Group Art Unit	Not Yet Assigned	Examiner Name	Not Yet Assigned	Attorney Docket Number	Michael de Rooij
Application Number	Not Yet Assigned																
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First Named Inventor	Michael de Rooij																
Group Art Unit	Not Yet Assigned																
Examiner Name	Not Yet Assigned																
Attorney Docket Number	Michael de Rooij																
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(Use as many sheets as necessary)</div>																	
Sheet	2	of	2														

NON-PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	/Miriam Berdichevsky/	Date Considered	08/29/2008
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). **See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **For Japanese patent documents, the indication of the kind of document must be entered in the appropriate box. Enter the language of the document in the appropriate box. Enter the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English Language Translation is attached. This collection of information is required by 37CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application for a patent. The information is required to estimate the fee to be paid, to determine the estimated time to take 2 hours to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the complexity of the invention. To avoid unnecessary delay in processing, please prepare to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20540-6000, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.B./

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael A. de Rooij et al. : Group Art Unit:
Serial No.: Not yet assigned : Examiner:
Filed: Herewith :
For: PHOTOVOLTAIC POWER CONVERTER
SYSTEM WITH A CONTROLLER CONFIGURED
TO ACTIVELY COMPENSATE LOAD HARMONICS

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97 (b)
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)
[before Final Office Action, Allowance, or other action closing prosecution] \$180.00
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION

Assistant Commissioner for Patents
Alexandria, VA 22313

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

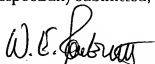
- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

December 10, 2003

Date

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or (518) 387-7122General Electric Company
Patent Docket Rm 4A59
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Schenectady, New York 12301
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				First Named Inventor	Michael de Rooij
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	132853

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	US-4,404,472		09-13-1983	Steigerwald	
	2	US-4,445,049		04-24-1984	Steigerwald	
	3	US-5,568,371		10-22-1996	Pitel et al.	
	4	US-5,712,774		01-27-1998	Uramoto	
	5	US-5,726,504		03-10-1998	Pecukonis et al.	
	6	US-5,499,178		03-12-1996	Mohan	
	7	US-6,351,400	B1	02-26-2002	Lumsden	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

Examiner Signature	/Miriam Berdichevsky/	Date Considered	08/29/2008
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